



HFV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles E. Bartlett et al.

Examiner: unknown

Serial No. 10/758,838

Group Art Unit: unknown

Filed: January 16, 2004

Confirmation No.:

For: FRESH AIR VENTILATION CONTROL METHODS AND SYSTEMS

Docket No.: H0005302-9953 (1161.1123101)

TRANSMITTAL SHEET

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 3 day of June, 2004.

By: _____

Brian N. Tufte

We are transmitting herewith the attached:

- [X] Information Disclosure Statement, Form PTO-1449 listing 75 references with 16 non-US patent references attached.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles E. Bartlett et al.

Examiner: unknown

Serial No. 10/758,838

Group Art Unit: unknown

Filed: January 16, 2004

Confirmation No.:

For: FRESH AIR VENTILATION CONTROL METHODS AND SYSTEMS

Docket No.: H0005302-9953 (1161.1123101)

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 3 day of June, 2004.

By: _____

Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

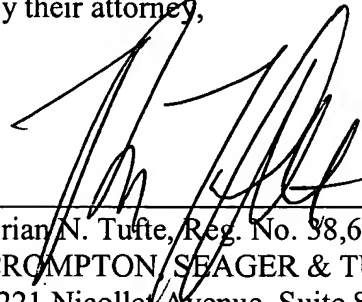
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

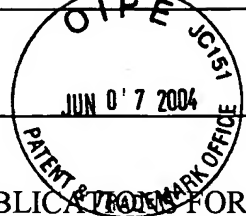
Charles E. Bartlett et al.

By their attorney,

A handwritten signature in black ink, appearing to read "Brian N. Tufte", is written over a horizontal line.

Brian N. Tufte, Reg. No. 98,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Dated: June 3, 2004

FORM PTO-1449 Page 1 of 3		Atty Docket No: H0005302-9953 (1161.1123101)	Serial No.: 10/758,838
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Charles E. Bartlett et al.	
		Filing Date: January 16, 2004	Group Art: unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	
	2002/0072322	09/2002	Sharp et al	
	2002/0090908	07/2002	Estepp	
	2003/0146289	08/2003	Sekhar et al.	
	2,495,861	01/1950	A.B. Newton	
	2,882,383	04/1959	R. L. Boyd, Jr. et al.	
	3,454,078	07/1969	G.E. Elwart	
	3,635,044	01/1972	Heth	
	3,948,438	04/1976	Vlasak	
	4,011,735	03/1977	Martz et al.	
	4,075,864	02/1978	Schrader	
	4,136,822	01/1979	Felter	
	4,267,967	05/1981	Beck et al.	
	4,356,962	11/1982	Levine	
	4,369,916	01/1983	Abbey	
	4,408,711	10/1983	Levine	
	4,452,391	06/1984	Chow	
	4,467,617	08/1984	Morgan, Jr. et al.	
	4,502,625	03/1985	Mueller	
	4,571,950	02/1986	Nariai et al.	
	4,595,139	06/1986	Levine	
	4,684,060	08/1987	Adams et al.	
	4,718,021	01/1988	Timblin	
	4,773,587	09/1988	Lipman	
	4,776,385	10/1988	Dean	
	4,838,482	06/1989	Vogelzang	
	4,930,460	06/1990	Aihara et al.	
	4,941,325	07/1990	Nuding	
	4,951,473	08/1990	Levine et al.	
	5,020,332	06/1991	Nakatsuno et al	
	5,131,236	07/1992	Wruck et al.	
	5,142,880	09/1992	Bellis	

FORM PTO-1449 Page 2 of 3	Atty Docket No: H0005302-9953 (1161.1123101)	Serial No.: 10/758,838
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Charles E. Bartlett et al.	
	Filing Date: January 16, 2004	Group Art: unknown

	5,179,524	01/1993	Parker et al.	
	5,239,834	08/1993	Travers	
	5,241,253	08/1993	Schlagen	
	5,282,770	02/1994	Shibata	
	5,325,286	06/1994	Weng et al.	
	5,415,617	05/1995	Kraus	
	5,433,377	07/1995	Sodo et al.	
	5,492,273	02/1996	Shah	
	5,547,017	08/1996	Rudd	
	B1 5,547,017	11/2000	Rudd	
	5,579,993	12/1996	Ahmed et al.	
	5,707,005	01/1998	Kettler et al.	
	5,727,887	03/1998	Webster et al.	
	5,742,516	04/1998	Olcerst	
	5,791,408	08/1998	Seem	
	5,862,982	01/1999	Federspiel	
	5,881,806	03/1999	Rudd	
	5,884,806	03/1999	Boyer et al.	
	5,971,846	10/1999	Cho et al.	
	6,079,627	06/2000	Ketter	
	6,161,764	12/2000	Jatnicks	
	6,170,271	01/2001	Sullivan	
	6,179,627	01/2001	Daly et al.	
	6,318,639	11/2001	Toth	
	6,415,617	07/2002	Seem	
	6,431,268	08/2002	Rudd	
	6,467,695	10/2002	Riley et al.	
	6,514,138	02/2003	Estepp	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No

Page 3 of 3	FORM PTO-1449	Atty Docket No: H0005302-9953 (1161.1123101)	Serial No.: 10/758,838
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Charles E. Bartlett et al.	
		Filing Date: January 16, 2004	Group Art: unknown

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	Honeywell Inc., "Residential Ventilation Control," EPRI Research Project 2034-44, May 1993.
	Honeywell Inc., "Field Demonstration of a Residential Ventilation Controller," EPRI Research Project 3512-04, Final Report, March 1995.
	Nutech Energy Systems Inc., "Lifebreath Clean Air Furnace "Electric" Clean Air Furnace Manual Model 60ELE" pp. 1-18, downloaded February 5, 2004.
	http://content.honeywell.com/yourhome/ptc-thermostats/PC8900faq.htm , "Honeywell, Your Home Expert - Programmable Thermostats: FAQ, 10 pages, printed February 4, 2004.
	Honeywell, "Perfect Climate Comfort Center," Quick Guide, 6 pages, 1996.
	http://www.aricycler.com/frv.html , "AriCycler -- The Indoor Air Quality Solution," 2 pages, printed February 4, 2004.
	http://www.aircycler.com/instfrv.htm , "AirCycler FR-V Installation," 2 pages, printed February 4, 2004.
	http://www.aircycler.com/instfrv.htm , "FR - Installation," 3 pages, printed February 4, 2004.
	ASHRAE STANDARD, "Ventilation and Acceptable Indoor Air Quality in Low-Rise Residential Buildings," BSR/ASRAE Standard 62.2P, Fourth Public Review, November 2002.
	Lipidex Corporation, "AirCycler, Improving Indoor Air Quality," 23 pages, printed September 8, 2003.
	Aprilaire, ""Choose a better ventilation solution for today's tight homes," Ventilation Control System Model 8126, 6 pages, 2003.
	Lifebreath, "Current Events Fall Edition," 4 pages, September 1999.
	Nutech Energy Systems Inc., "Programmable ventilation Controller 99-105," Operation and Installation Manual, 6 pages, downloaded February 4, 2004.
	Honeywell Inc., "Perfect Climate Comfort Center," Owners Guide, 44 pages, 1998.
	Aprilaire, "Ventilation Controller," Model 8120 Ventilation Controller, Owner's Manual, 2 pages, downloaded February 4, 2004.
	Nutech Energy Systems Inc., "Operating Your Ventilation Dehumidistat," Operating the 99-250 Ventilation Dehumidistat, 2 pages, downloaded February 4, 2004.
EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.